



CALIFORNIA INSTITUTE OF TECHNOLOGY

Open Access Policy

Approved by the Caltech Faculty Board on June 10, 2013

The Faculty of the California Institute of Technology is committed to disseminating the fruits of its research and scholarship as widely as possible.

In keeping with that commitment, the Faculty adopts the following policy: Each Faculty member grants to the California Institute of Technology permission to make available his or her scholarly articles and to exercise the copyright in those articles. Under this policy Faculty authors are not transferring ownership of the copyright in their work to the California Institute of Technology; rather, they are, as copyright holders, granting non-exclusive rights as set forth below.

More specifically each Faculty member grants to the California Institute of Technology a nonexclusive, irrevocable, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, provided that the articles are not sold for a profit, and to authorize others to do the same.

The policy applies to all scholarly articles authored or co-authored while the person is a member of the Faculty except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. The Provost or Provost's designate will waive application of the Institute's license for a particular article or will delay access to, or embargo an article, for a specified period of time upon express direction by a Faculty member.

To facilitate the execution of this policy the Institute requests each Faculty member, where possible, to provide an electronic copy of the author's final version of each article no later than the date of its publication at no charge to the appropriate designated representative of the Provost's Office in an appropriate format (such as PDF) specified by the Provost's Office. The Provost's Office may make the article available to the public in Caltech Library's open-access repository: <http://authors.library.caltech.edu>. See 'Users Guide to CaltechAUTHORS' under More Information on the page.

The Office of the Provost will be responsible for interpreting this policy, resolving disputes concerning its interpretation and application, and recommending changes to the Faculty from time to time. The policy will take effect as of January 1, 2014 and will be reviewed after three years by the Faculty Library Committee and a report presented to the Faculty.

The Faculty calls upon the Faculty Library Committee and the Caltech Library to develop and monitor a plan for a service or mechanism that would render compliance with the policy as convenient for the faculty as possible.